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**Industrial Ecology's Hidden Philosophy of Nature:
Fundamental Underpinning to Use Nature as Model**

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2 Keywords

Analogy; Ecological Economics; Industrial Ecology; Learning from Nature; Metaphor; Nature as Model; Paradigm; Philosophy of Nature; Philosophy of Science

3 Summary

In its scientific sense, industrial ecology represents an emerging **transdisciplinary field** of studying **industrial systems** and their fundamental **linkage with natural ecosystems**. As a short form, industrial ecology is called the “science of sustainability”.

At the bottom of industrial ecology there is a **refreshingly different perspective of understanding nature as model** in comparison with other scientific disciplines and concepts of understanding nature e.g. in terms of “sack of resources”, “biophysical limit”, “something outside”, “surrounding”, or just “environment” as opposed to industrial systems. The keynote of industrial ecology’s specific perspective of understanding nature is to balance the development of industrial systems with the constraints of natural ecosystems, analogous to an “industrial symbiosis”. This perspective does not merely spring from a persistent craving for balancing natural ecosystems and industrial systems but is based on a persuasive analogy between nature - seen as matured cyclical economy on the one hand - and industry taken as a whole - interpreted according to a living systems approach on the other.

Indeed, **metaphorical application** of nature and natural ecosystems are intuitively appealing and smartly sounding. Surely, metaphorical usage and **analogy** provide valuable insights as an **eye-opener**. Certainly, metaphorical usage and analogy also lead to new facets of interpreting nature, perhaps for learning from nature in order to contribute for solving our environmental problems.

However, the currency of metaphors and analogies themselves does not imply automatically general acceptance and proper usage. More detailed, according to common scientific standards of philosophical awareness, metaphorical usage and analogy are assigned to the **context of discovery**. Metaphor and analogy are actually fundamental to our way of thinking, and

indeed, they may deliver unexpected insights and they may prove to be a window for surprising and refreshing vistas. However, even if metaphor and analogy are interpreted indispensable to science, it does not follow that all metaphors and analogies are indispensable.

Moreover, they are by no means appreciated as appropriate methods or legitimate instruments for the **context of justification**. To be a little more precise, according to common epistemological standard, usage of metaphor and analogy become more or less **provocative and** - probably - **misleading** without being made clearly and transparent. In the famous words of Alfred Marshall (1966, 314): "It is well to know when to introduce them, it is even better to know when to stop them off". Moreover, from an philosophical point of view, they need **substantial underpinning** by convincing arguments. Further, in avoiding the danger to comprise a "Trojan Horse" within industrial ecology science, its non-mainstream perspective of understanding nature as model requires solid foundation.

At first glance, it is this unusual and non-mainstream perspective of understanding nature as model, its frequently metaphorical use, based on a proclaimed compelling analogy that industrial ecology may cause surprise within the scientific community. Thus, as an emerging scientific discipline, **industrial ecologists** as its proponents **are probably often challenged by critics, sceptics, hard-liners and other mainstream-scientists to conceptualise and underpin their characteristic understanding of nature**: Some of them may argue that industrial ecology is just loosely based on natural ecosystems. Resulting from this, they may trouble that industrial ecology seems vague and mired in its own ambiguity and weakness. Some others may state that from an philosophical point of view industrial ecology seems to be in its infancy, only at the beginning of a voyage of epistemological exploration. In addition to such criticism, there are some indus-

trial ecologists who sense that a consolidated theory-building is only emerging.

Due to this challenge, industrial ecologists made few **initial efforts** to strengthen and elucidate their background assumptions concerning nature and to underline their apparently problematic employment of nature as model. Based on these initial industrial ecology efforts and **in pursuing to gain greater conceptual clarity**, an approach of nature as model is presented. This approach aims to contribute for laying a general solid underpinning of industrial ecology's hidden philosophy of nature.

Although such a voyage of philosophical exploration obviously does not take place in a broader sense, **elucidation** about "meta industrial ecology implications" seems to be still significant because it represents an essential element of **scientific task and duty**. There are at least three main reasons that industrial ecologists should pay attention to matured insights of philosophy of science and philosophy of nature:

- Firstly, reasoning on philosophical questions is nothing exclusively for professional academic philosophers but to every scientist, engineer, ecologist, economist etc. who does research and takes responsibility contributing to shape towards sustainability at large. Thus, it is up to **industrial ecologists themselves** being philosophically aware. Primarily it is their turn and they are able to reason on philosophical aspects.

- Secondly, clarifying **meta industrial ecology implications** is nothing really "outside" industrial ecology. Undeniably, there is no need to import these implications from outward into industrial ecology science because they are already internal, always implicit, and usually inherent.

- Thirdly, **background assumptions** and essentials of industrial ecology are defined or - as a minimum - influenced by philosophical aspects. Thus, it is a serious question of basic categories and actually of industrial ecology's

reputation as emerging scientific discipline to take care of philosophical awareness.

Of course, scientists and economists have frequently drawn heavily from biological analogies and metaphors as linguistic equivalent - in particular from organism-analogy, evolution-analogy, fractal-firm-analogy, brain-analogy, and bionics-analogy - in order to illustrate and point out the understanding e.g. of economic phenomena. However, nature as model regularly causes more than surprise. Hence, with regard to industrial ecology, it seems necessary to provide a fundamental underpinning for this unusual perspective focusing on four main reasons:

- The first reason is that **to protect** nature as model **against** inflationary use of biological analogies and poetic metaphors as **merely rhetoric or picturesque** note in economic literature.

- The second reason is that **to prevent cosmetic reference** to nature only as fruitful metaphor, for example, as it is often done by management consultant companies.

- The third reason is that **to critically examine** references to nature and reasoning based on nature. It is **not going to romanticise** nature or to equate nature just with a “holy world of harmony”, “biological community”, or “familiar cooperation”.

- As a larger goal, the fourth reason is that **to emphasize** the vital **relevance of nature** for industrial ecology in order to contribute towards sustainability at large.

Summed up, we surely will progress in our thinking by basing our ideas of an area in the unknown on using metaphors and analogies drawn from an area of the known. However, we should be aware that in reasoning by metaphors and analogies there are **pitfalls and shortcomings to be avoided**, i.e. usage of metaphors and analogies are proper and legitimate

and - probably - highly helpful, as long as what is involved is primarily the elucidation of the sense of a given proposition. If we try to use them for proving a proposition or even to establish a presumption in its favour, we will be lead into more or less grave errors.

4 Goal and Scope

The goal is to contribute for laying a fundamental underpinning for industrial ecology in its scientific sense, in this case especially for its use of nature as model. Therefore an **impressive battery of philosophical arguments** is provided bringing to bear against the sort of probably raised fallacies and facile or hasty proclaimed critics by sceptics, hard-liners, and mainstream-scientists who often overlook industrial ecology's stimulating role towards sustainability.

In industrial ecology nature is usually interpreted as model explicitly or at last implicitly. However, despite the fact that there are already some initial contributions dealing with the role of the natural ecosystem metaphor and analogy in industrial ecology, industrial ecology obviously seems to require further efforts in order to underpin and conceptualise its non-mainstream interpretation of nature. As a result, there is urgent **need for research** on industrial ecology's underlying philosophical assumptions.

The tangible objective is twofold:

- firstly, as a larger goal, to **make philosophical thinking quite accessible** to industrial ecologists while its content is of interest to professional philosophers;
- secondly, more precisely, the contribution aims (i) to **protect “nature as model” against** inflationary use of biological analogies as **merely rhetoric**, (ii) to **shield industrial ecology** from sceptics, and (iii) to **avoid it against** obvious **shortcomings**.

5 Content

The contribution is divided into two sections: In the **first introductory section**, the relevance of nature for industrial ecology is outlined by a comprehensive classification framework (typology) that proves useful to survey the substantial differences of understanding nature within the field of different scientific fields, in particular within different environmental economic schools.

The **major second section** deals with basics of philosophy of science and philosophy of nature in industrial ecology:

- At first, industrial ecology is outlined by a framework of basic characteristics in order to highlight the substantial differences in comparison to traditional perspectives of understanding nature as object or limit.

- Then, industrial ecology's hidden philosophy of nature is uncovered to demonstrate the seemingly unproblematic use of nature as model. Based on this, the dialectic principle of thesis, antithesis and synthesis is applied in order to settle the dispute between sceptics' and protagonists' viewpoints concerning nature as model. It is emphasised to be aware of its **hypothetical status**, i.e. nature provides a minimum representing a perhaps useful heuristic of smart solutions, evolutionary strategies, and ecological principles. However, nature by no means represents an all-inclusive checklist that could guarantee sustainability or ethical fairness itself. This sophisticated perspective of understanding nature as **hypothetical model** correlates with a groundbreaking study "Environmental Report 1994. For Environmentally Sound, Sustainable Development" of the German Council of Environmental Advisors (Rat der Sachverständigen für Umweltfragen, SRU 1994) who stated very clearly that every effort of **hyper-interpreting nature is quite problematic**: Indeed, such efforts of understanding nature e.g. as "partner", "stakeholder", "master", "teacher" etc. probably offer valuable insights. However, they still remain rhetoric or private beliefs of noble-minded ecologists

but they could not serve a general acceptable perspective towards sustainability at large. Consequently, these efforts should be treated carefully, or when evidently deficient, just rejected.

■ Next, it is outlined that nature as model can serve as a paradigm for industrial ecology. More detailed, an elementary architecture of industrial ecology science is presented, the term “paradigm” is illustrated, and major impacts on industrial ecology are outlined. Following the Kantian understanding, nature as model looks like a regulative idea providing a helpful heuristic that can be employed for guiding industrial ecology’s research program.

■ The **conclusion** may support a final pleading to use nature as model in industrial ecology:

- On the one hand, understanding nature as model is not only pointing to the limits of nature as scarce source, finite sink, and fragile self-organised cycle. Limits usually imply reduction, restriction, avoidance, diminution, and minimisation. Limits imply respect tending to be understood in a negative sense just containing negative connotations. However, nature as model contains **positive connotations**. It may serve as a paradigm in order to guide industrial ecology’s research program.
- On the other hand, understanding nature as model provides **substantial enhancement** of traditional perspectives of understanding nature as object and limit. With this in mind, perhaps the industrial ecology specific perspective of understanding nature as (hypothetical) model **may transcend classical perspectives** of traditional scientific fields e.g. of environmental economic schools which are still highly influenced by neoclassical environmental and resource economics and its one-sided mechanistic understanding of nature as “sack of resources”.

In all, the appeal of nature as model not merely springs from a persistent craving for balancing nature's ecosystems and industrial systems. Profoundly considering that nature as model is **more than fashionable rhetoric and smart theoretical idea**, industrial ecology research should be advanced and turned into practice.

6 Methods

According to the above-mentioned goal and scope, industrial ecology's implicit philosophical aspects are situated in the centre. Four major philosophical methods are applied: (i) **classification-framework** (typology) to specify the interpretation of nature; (ii) **dialectic principle** of thesis, antithesis, and synthesis to settle the dispute between sceptics' and protagonists' viewpoints concerning nature as model; (iii) **basics of anthropology** to explain how it is even possible to understand nature by science in general, in particular by industrial ecology, and to look to nature for a model by reflexive manner; (iv) **epistemologically based architecture of industrial ecology science** to demonstrate that the reflexive interpretation of nature as model represents a paradigm serving as helpful heuristic and influencing industrial ecology's research and practice.

7 Results and Conclusions

It is possible to elucidate industrial ecology's hidden philosophy of nature by reflexive manner. An impressive battery of philosophical arguments is presented to underpin industrial ecology's perspective of understanding nature as model. Consequently, it seems plausible and useful to **learn from nature**, i.e. industrial ecologists can **selectively apply** nature's smart solutions, evolutionary strategies, and ecological principles for balancing natural ecosystems and industrial systems.

The keynote is **to be aware of nature's hypothetical status**. Thus, nature as model provides a **minimum** that can be considered when we already know what we are looking for, i.e. we need something like a regulative idea that constitutes nature and natural ecosystems' phenomena as relevant objects for solving our environmental problems. Even then, we could look on nature for a model searching for smart solutions, evolutionary strategies, and ecological principles which might seem appropriate for us in order to solve our environmental problems. Nature does not provide unequivocal orientation. Hence, nature as model can not provide an all-inclusive checklist that guarantees sustainability or ethical fairness itself.

Metaphorically, nature as model provides valuable insights as an **eye-opener**. From this rhetoric sense we can learn by gaining inspiration and encouraging creativity to derive ecological innovations. However, additionally to linguistic aspects, nature as model can also serve as paradigm. This **paradigmatic sense** includes rhetoric use as metaphor but broadens the interpretation as smart biological analogy and exceeds the connotation as picturesque note by far. Nature as model is seen as regulative idea that offers a helpful heuristic guiding industrial ecology's research program. Such a regulative idea plays a dominating role that is (i) to arrange our way of thinking, (ii) to organise our imagination of phenomena, and (iii) to govern our decision-making.

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9 Transparencies



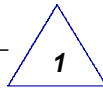
Industrial Ecology's Hidden Philosophy of Nature

Fundamental Underpinning to Use Nature as Model

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Industrial Ecology's Hidden Philosophy of Nature

Fundamental Underpinning to Use Nature as Model

1 Hidden philosophy of nature

2 Philosophical prerequisites

3 Conclusion

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1 Industrial Ecology: Hidden philosophy of nature

2 Philosophical prerequisites to understand nature by industrial ecology

3 Conclusion: Implications for industrial ecology

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1 Industrial Ecology: Hidden Philosophy of Nature (I)

1 Hidden philosophy of nature

2 Philosophical prerequisites

3 Conclusion

Author	Reference to nature understood as model explicitly or at least implicitly
Frosch/Gallopoulos (1989, 94)	IE "would function as an <i>analogue</i> of biological system"
Tibbs (1992, 2 and passim)	IE "takes the pattern of the natural environment as a <i>model</i> "
Simonis (1993, 131)	" <i>Learning</i> from nature by taking private lessons in ecology"
Graedel (1994, 24)	"The <i>ideal</i> anthropogenic use of ... materials ... would be one ... <i>similar</i> to the biological <i>model</i> "
Socolow (1994, 4)	"Nature is the <i>measure</i> of man"; nature "as the principal <i>shaper</i> of global human activity"
Andrews/Berkhout/Thomas (1994, 471)	Nature "is <i>instructive</i> to explore in some detail what an industrial ecosystem could involve"
Richards/Allenby/Frosch (1994, 3, 8)	"Natural ecosystems as <i>no waste ecology</i> "
Allenby/Cooper (1994, 343)	"Sustainable economic structure will resemble a <i>mature</i> biological community"
Graedel/Allenby (1995, 10)	Nature understood as <i>master</i> of recycling
Ring (1994 passim; 1997, 243)	" <i>Orient</i> economic activities towards ecological principles"
Wernick/Ausubel (1997, 7)	IE "implies that <i>models</i> of non-human biological systems ... are <i>instructive</i> for industrial systems"
Ausubel (1998, 1)	IE "asks whether nature can <i>teach</i> industry ways ... in minimizing waste"
Manahan (1999, preface and 93)	Nature as <i>cyclical economy without waste</i>
Cleveland (1999, 148)	It is characteristic for IE "to look to the natural world for <i>models</i> of ... efficient use of resources"
Allenby (1999, 43)	"The concept of industrial ecology ... [is] based here on the <i>biological analogy</i> "
Ehrenfeld (2000, 237)	"Natural ecosystems ... offer the only ... <i>example</i> ... of long-lived, robust, resilient living systems"
Journal of IE (2000, 1)	IE "looks to the natural world for <i>models</i> "
Korhonen (2001, 57)	"Ecosystems are <i>masters</i> of recycling ... ecosystem metaphor provides a <i>sustainable model</i> "
NTNU (2001)	"Nature as a <i>teacher</i> " and " <i>learning</i> from nature"

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1 Industrial Ecology: Hidden Philosophy of Nature (II)

1 Hidden philosophy of nature

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Internal industrial ecology topic

- *Manahan* (1999, 2) concedes that industrial ecology "is **loosely based**" on natural ecosystems.
- *Korhonen* (2000, 11) says that industrial ecology's "theory is **only emerging**".
- *Fuchs/Mazmanian* (1998, 193) emphasise a **premature stage**: "Progress in our understanding of greening has been hampered by a lack of theoretical and methodological rigour".

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1 Industrial Ecology: Hidden Philosophy of Nature (III)

1 Hidden philosophy of nature

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Provisional result (1)

- In industrial ecology **nature** is employed and used **as model** explicitly or at least implicitly,
- often phrased in terms of a **metaphor** and frequently based on a proclaimed compelling **analogy** between natural ecosystems and industrial systems.
- This perspective is refreshingly **different from** traditional ones, e.g. in terms of "**sack of resources**", "**biophysical limit**", "something outside", or just "environment".

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1 Hidden philosophy of nature

2 Philosophical prerequisites

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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (I)

Why dealing with philosophical prerequisites?

- Epistemological awareness is an element of industrial ecology's **scientific task and duty**
- Meta-industrial-ecology-implications are already **internal**, always **implicit** and usually **inherent**
- Clarifying background assumptions becomes **relevant and essential** for industrial ecology's **reputation** as emerging scientific discipline



1 Hidden philosophy of nature

2 Philosophical prerequisites

3 Conclusion

2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (II)

Meaning of Philosophy

Typical philosophical questions	Main realms of philosophy
What can we know?	Epistemology
What shall we do?	Ethics
What may we hope?	Philosophy of religion
What (who) is man?	Anthropology



2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (III)

1 Hidden
philosophy
of nature

2 Philo-
sophical
pre-
requisites

3 Con-
clusion

Authors dealing with philosophical prerequisites

- *Bourg* (2000): Industrial ecology and its philosophical and political meanings
- *Ehrenberg* (2000): Industrial ecology as paradigm shift or normal science
- *Keitsch/Erkman* (1998): Philosophical reflections on industrial ecology and appropriate methods in research and curriculum
- *Isenmann* (1998; 2000, 2001): Philosophical facets of industrial ecology e.g. (i) understanding nature, (ii) paradigmatic shift, (iii) architecture of industrial ecology science, (iv) learning from nature

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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (IV)

1 Hidden
philosophy
of nature

2 Philo-
sophical
pre-
requisites

3 Con-
clusion

Authors dealing with the role of metaphor and analogy

- *Allenby/Cooper* (1994): Understanding Industrial Ecology from a Biological Systems Perspective
- *Sagar/Frosch* (1997): A Perspective on Industrial Ecology and Its Application to a Metals-industry Ecosystem
- *Commoner* (1997): The Relation between Industrial and Ecological Systems

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1 Hidden philosophy of nature

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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (V)

Epistemological role of metaphor and analogy

- Intuitively appealing and smartly sounding (e.g. for didactic and educational purposes)
- Providing valuable insights as “eye-opener” (e.g. for encouraging creativity and gaining inspiration)
- Leading to new facets of understanding nature (e.g. for learning)
- Common epistemological standard (Reichenbach 1891-1953):
 - appropriate for the **context of discovery**, but
 - **by no means for the context of justification**
 - because: “**genetic fallacy**”, i.e. mixing genesis with validity, danger of a “Trojan Horse”

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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (VI)

Nature as construction and interpretation

- “Nature” does not automatically or clearly speak to us. Nature appears to us in several ways of mediation.
- **Epistemology**: According to *Kant* (1781, KrV, 198): Every effort of understanding nature implies a **construction** and an **interpretation** by humans.
- **Anthropology**: In line with *Löw* (1990) and *Zwierlein* (1997): The pivot for understanding nature is a “**logical anthropomorphism**”.

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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (VII)

Industrial ecology as means for interpretation

- **Application:** Conceptual framework containing three layers

	Industrial Ecology characteristic perspective
Meta-Theory: Epistemological interest in nature	Orientation by nature
Theory: Comprehension of nature	Nature as model
Practice: Dealing with nature	Learning from nature

1 Hidden
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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (VIII)

Industrial ecology as means for interpretation

- **Application:** Conceptual framework containing three layers

	Perspective 1		Perspective 2	Perspective 3 (Industrial Ecology)	Perspective 4
Comprehension of nature (theory)	Nature as object		Nature as limit	Natur as model	Nature as partner, teacher, master etc.
Dealing with nature (practice)	Use of nature	Care of nature	Avoiding to use nature	Learning from nature	Coevolution with nature
Epistemological interest in nature (meta theory)	Inter- ference into nature	Conservation of nature	Respect for nature	Orientation by nature	Incorporated efficiency of nature

1 Hidden
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of nature


2 Philo-
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3 Con-
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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (XI)

Analogy “ecosystems - industrial systems”

Technomorphic

Interpretation of Nature (Ecosystems)


Interpretation of Economy, Technology (Industrial Systems)

Biomorphic

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2 Philosophical Prerequisites to Understand Nature by Industrial Ecology (X)

Provisional result (2)

- Based on matured insights of philosophy of science and philosophy of nature,
- industrial ecology can be seen as (probably) **appropriate scientific means** to interpret nature by and into our language.
- It's perspective on nature is guided by three characteristics:
 - **epistemological interest** in nature (**orientation** by nature)
 - **comprehension** of nature (nature as **model**)
 - **way of dealing** with nature (**learning** from nature)

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3 Conclusion: Implications for Industrial Ecology (I)

1 Hidden philosophy of nature

2 Philosophical prerequisites

3 Conclusion

Five major insights

- ① IE: Nature as **model**, used metaphorically, based on analogy
- ② IE: Lack of effort (i) to uncover its hidden philosophy of nature and (ii) to underpin its metaphorical understanding
⇒ **philosophical elucidation**
- ③ IE: Appropriate **scientific means** to interpret nature by and into our language
- ④ Philosophical prerequisite: Awareness of the **hypothetical status** of nature as model
- ⑤ IE: Substantial **enhancement** for understanding nature

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3 Conclusion: Implications for Industrial Ecology (II)

1 Hidden philosophy of nature

2 Philosophical prerequisites

3 Conclusion

My larger goal

- ⇒ to **provide** an impressive battery of **philosophical arguments** bringing to bear **against** the sort of probably raised fallacies and facile proclaimed critics by **sceptics**.
- **not rejecting** industrial ecology's hidden philosophy of nature **at all, but:**
 - ⇒ to **elucidate** still hidden background assumptions
 - ⇒ to **encourage awareness** (i) that "nature as model" is always **hypothetical** and (ii) its use needs **conceptual underpinning**

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10 Further Studies

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