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MINIMAL CLASS GROUP

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# Algebraizations with minimal class group

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## 1 Introduction

The following question has been posed in [P-S]:

**Question:** Let  $R$  be a complete normal local ring with coefficient field  $\mathbf{C}$ . Does there exist a local ring  $A$ , essentially of finite type over  $\mathbf{C}$ , such that the class group  $Cl(A)$  of  $A$  is generated by the canonical module  $\omega_A$  of  $A$  and its completion  $\hat{A} \cong R$ ?

In general one knows that  $Cl(A) \rightarrow Cl(R)$  is injective (see [Bo]) and the question arises how small one can make  $Cl(A)$ . Srinivas has constructed UFD's (i.e.,  $Cl(A) = 0$ ) with arbitrary rational double point singularities in his study of the K-theory of these singularities (see [Sr]). Kollár conjectured that any isolated hypersurface singularity would have an UFD globalization and some partial results were obtained by Buium (see [Bu]). In [P-S] the first author and Srinivas settled the above question in the affirmative for isolated complete intersection singularities.

Recently, Heitmann (see [He]) has constructed for any complete local ring  $R$  over  $\mathbf{C}$  of depth at least two UFD's with completion  $R$ . But these rings are not geometric in general and they do not have dualizing modules. Indeed, a theorem of Murthy asserts that a geometric Cohen-Macaulay UFD is Gorenstein (see [Mu]). So for non-Gorenstein  $R$  it seems more natural to look for geometric  $A$ 's with class group generated by the canonical module.

In this note we will prove that the above question has an affirmative answer in the case of *normal surface singularities*:

**Theorem 1.1** *Given an analytic normal surface singularity  $\text{Spec}(R) = (X, 0)$ , there is an affine algebraic surface  $X = \text{Spec}(A)$  and a closed point  $0 \in X$  which represents the same germ  $(X, 0)$  and the class group of  $X$  is generated by the canonical divisor  $\omega_X$ .*

The proof follows by projecting the singularity into  $(\mathbf{C}^3, 0)$  and studying a suitable equisingular algebraic family via the monodromy of Lefschetz pencils and a variant of the Noether-Lefschetz theorem, as in [P-S].

## 2 Construction of the Family

Let  $(X, 0) = \text{Spec}(R)$  be the given analytic germ and  $(X, 0) \subset (\mathbf{C}^N, 0)$  be an embedding of it. Let  $L : \mathbf{C}^N \rightarrow \mathbf{C}^3$  be a generic linear projection and  $\nu : (X, 0) \rightarrow (Y, 0)$  be the restriction of  $L$  to  $X$ , and let  $(Y, 0)$  be the image. Then the singular locus  $(\Sigma, 0)$  of  $(Y, 0)$  is a curve, possibly singular at 0 and generically  $(Y, 0)$  has  $A_\infty$  singularities, i.e., locally defined by the equation  $x^2 + y^2 = 0$ . Moreover, in this situation  $\nu : X \rightarrow Y$  can be identified with the normalization and hence one can reconstruct  $(X, 0)$  out of  $(Y, 0)$ . As  $(Y, 0)$  is a hypersurface germ in  $(\mathbf{C}^3, 0)$ , it is defined by an analytic function  $f \in \mathbf{C}\{x, y, z\}$ . Let  $I$  be the reduced ideal of  $(\Sigma, 0)$ . Then we have the following:

**Theorem 2.1** (cf. [P]) *The function  $f$  is finitely  $I$ -determined and is right-equivalent to a polynomial. Moreover given an integer  $r$ , there exists an integer  $k = k(r, f)$  such that whenever  $g \in \mathcal{M}^k \cap I^{(2)}$ , then  $f$  and  $f + g$  are right-equivalent via an automorphism which is identity modulo  $\mathcal{M}^r$ , where  $\mathcal{M}$  is the maximal ideal of  $\mathbf{C}\{x, y, z\}$ .  $\square$*

Though the second part of the theorem is not explicitly stated there, it can be easily obtained by multiplying by a power of the maximal ideal on both sides of the basic inclusion of Pellikaan  $\mathcal{M}^{k+1}I^{(2)} \subset \mathcal{M}^*\tau^*(F)$  (cf. [P], page 375, line 12).

Let  $\Sigma$  be a compactification of  $(\Sigma, 0)$  in  $\mathbf{P}^3$ , which is smooth outside 0. Then by a result of de Jong (cf. [J]), there exists a homogeneous polynomial  $F \in \mathbf{C}[x, y, z, t]$  such that  $\{F = 0, 0\} \cong (Y, 0)$  and  $Y := \{F = 0\}$  is smooth outside  $\Sigma$  and has only  $A_\infty$  and  $D_\infty$  points on  $\Sigma - 0$ .

Let  $\pi : \widetilde{\mathbf{P}^3} \rightarrow \mathbf{P}^3$  be an embedded resolution of  $Y$ . Then it is the blow up of a coherent sheaf of ideals  $\mathcal{I}$  supported on  $\Sigma$  (cf. [H], Theorem 7.17). Generically  $\mathcal{I}$  can be assumed to be the reduced ideal  $I$  of  $\Sigma$ . By the Artin-Rees lemma there is an integer  $r$  such that  $\mathcal{I} \cap \mathcal{M}^r \subset \mathcal{M}\mathcal{I}$ . Let  $k = k(r, F)$

be as in the theorem 2.1. Let  $d_0 \in \mathbf{N}$  be an integer such that  $d_0 > l := \deg F$  and for all  $d > d_0$  we have

- (i) The restriction map  $r : H^0(\mathbf{P}^3, \mathcal{M}^k \cap I^{(2)}(d)) \rightarrow H^0(\mathbf{P}^3, I^{(2)}/\mathcal{M}_p^4 \cdot I^{(2)})$  is surjective for all  $p \in \Sigma - 0$  where  $\mathcal{M}_p$  is the maximal of  $\mathcal{O}_{\mathbf{P}^3, p}$ .
- (ii)  $V := \mathbf{C} \cdot t^{d-l} F + H^0(\mathbf{P}^3, \mathcal{M}^k \cap I^{(2)}(d))$  is very ample on  $\mathbf{P}^3 - \Sigma$
- (iii)  $A_d := (d^3 - 6d^2 + 11d - 6)/6 > h^0(\mathcal{O}_\Sigma(d-4)) + p_g(X, 0)$  where  $p_g(X, 0)$  is the geometric genus of the singularity  $(X, 0)$  and  $h^i$  of a sheaf is the dimension of  $H^i$ . This is possible because  $p_g(X, 0)$  is a constant and  $h^0(\mathcal{O}_\Sigma(d-4))$  is a linear function in  $d$  by the theorem of Riemann-Roch, while the left hand side is a cubic polynomial in  $d$ .

Let  $\mathbf{P} \subset \mathbf{P}(V^*)$  be the hyperplane defined by the subspace  $\mathcal{M}^k \cap I^{(2)}(d)$  and  $S$  be the complement of  $\mathbf{P}$  in  $\mathbf{P}(V^*)$ . For each  $s \in S$  let  $Y_s$  denote the subscheme of  $\mathbf{P}^3$  defined by  $s = 0$  and  $Z_s$  be the strict transform of  $Y_s$  in  $\widetilde{\mathbf{P}}^3$ . Consider the families,

$$\mathcal{Y} := \{(x, s) \in \mathbf{P}^3 \times S \mid x \in Y_s\}$$

$$\mathcal{Z} := \{(x, s) \in \widetilde{\mathbf{P}}^3 \times S \mid x \in Z_s\}$$

Let  $f : \mathcal{Z} \rightarrow S$  be the second projection.

### 3 Elementary Properties of the Family

Recall that  $\pi : \widetilde{\mathbf{P}}^3 \rightarrow \mathbf{P}^3$  was the embedded resolution of  $Y$ . Let  $\widetilde{Y}$  be the strict transform of  $Y$  in  $\widetilde{\mathbf{P}}^3$  and put

$$E_0 := \pi^{-1}(0) \cap \widetilde{Y}.$$

For each  $s \in S$ , we let  $\widetilde{\Sigma}_s \subset Z_s$  be the "strict transform" of  $\Sigma$ , i.e.,

$$\widetilde{\Sigma}_s := \overline{\pi^{-1}(\Sigma - 0)} \cap Z_s.$$

**Lemma 3.1** *In the above situation we have*

- (i) *For every  $s \in S$ ,  $Z_s \cap \pi^{-1}(0) = E_0$  and  $Z_s$  is non-singular along  $E_0$*

(ii)  $f : \mathcal{Z} \rightarrow S$  is a submersion along  $E_0 \times S \subset \mathcal{Z}$

(iii) There exists a codimension 2 subset  $T$  of  $S$  such that for all  $s \in S - T$ ,  $Z_s$  is smooth along  $\widetilde{\Sigma}_s$

**Proof:** Fix an  $s \in S$ . By the theorem of Pellikaan there is an automorphism of  $(\mathbb{C}^3, 0)$  which is identity modulo  $\mathcal{M}^r$  and defines an isomorphism of  $(Y_s, 0)$  with  $(Y, 0)$ . Since  $\mathcal{M}^r \cap \mathcal{I} \subset \mathcal{M}\mathcal{I}$  it follows that this automorphism extends to the blow up of  $\mathcal{I}$  in a neighbourhood of 0 and acts trivially on the fibre  $\pi^{-1}(0)$ , because it acts trivially on  $\mathcal{I}/\mathcal{M}^r \cap \mathcal{I}$  which maps onto  $\mathcal{I}/\mathcal{M}\mathcal{I}$  and hence acts trivially on  $\oplus \mathcal{I}^m/\mathcal{M}\mathcal{I}^m$ . Hence it fixes  $E_0$  and defines an isomorphism of  $(Z_s, E_0)$  with  $(\widetilde{Y}, E_0)$ . This proves (i). As (ii) is a local assertion, it follows from (i).

By the classification of line singularities (cf. [S] table on page 488), there is a subspace  $T_p \subset H^0(\mathbb{P}^3, I^{(2)}/\mathcal{M}_p^4 \cdot I^{(2)})$  of codimension 3 for each  $p \in \Sigma - 0$  such that all functions in  $H^0(\mathbb{P}^3, \mathcal{M}^k \cap I^{(2)}(d)) - r^{-1}(T_p)$  has singularities of type  $A_\infty$ ,  $D_\infty$  or  $J_\infty$  at  $p$ . By assumption (i) it follows that  $r^{-1}(T_p)$  has codimension 3 in  $H^0(\mathbb{P}^3, \mathcal{M}^k \cap I^{(2)}(d))$ . Define  $T'$  to be the closure of  $\cup_{p \in \Sigma - 0} r^{-1}(T_p)$ . Then  $T'$  has codimension  $\geq 2$  and is invariant under scalar multiplication. Let  $T$  be the image of  $T'$  in  $S$ . Then  $T$  has codimension  $\geq 2$  in  $S$ . Hence for every  $s \in S - T$ ,  $Y_s$  has only singularities of above mentioned types. It is easy to prove by local computations that  $A_\infty$ ,  $D_\infty$  and  $J_\infty$  are resolved by the blow up of reduced singular locus. Hence  $Z_s$  is smooth along  $\widetilde{\Sigma}_s$  for  $s \in S - T$ . This proves (iii)  $\square$

Let  $C$  and  $D$  be the critical and the discriminant locus of  $f$ , i.e.,  $C := \{(x, s) \in \mathcal{Z} \mid Z_s \text{ is singular at } x\}$  and  $D := f(C)$ .

**Corollary 3.2** *Outside the set  $T \subset S$ , we have*

(i)  $C - f^{-1}(T)$  is smooth and irreducible of dimension  $\dim S - 1$ .

(ii)  $f : C - f^{-1}(T) \rightarrow D - T$  is birational.

(iii) For general  $s \in D - T$ ,  $Z_s$  has an ordinary double point.

(iv)  $\mathcal{Y} \rightarrow S$  is an admissible family of surfaces over  $S - T$ .

**Proof:** Since  $V$  is very ample, it gives rise to an embedding of  $\mathbf{P}^3 - \Sigma$  in  $\mathbf{P}(V)$ . Let  $\pi' : C \rightarrow \mathbf{P}^3$  be the projection. Then  $C - \pi'^{-1}(\Sigma) \rightarrow \mathbf{P}^3 - \Sigma$  is the projective normal bundle of  $\mathbf{P}^3 - \Sigma$  in  $\mathbf{P}(V)$ , by [La]. Moreover it is also proved there that  $C - \pi'^{-1}(\Sigma) \rightarrow D$  is birational with the general point corresponds to an ordinary double point on  $Z_s$ . By lemma 3.1  $Z_s$  is non-singular along  $Z_s \cap \pi^{-1}(\Sigma)$  for all  $s \in S - T$ . Hence the discriminant of  $f : \mathcal{Z} - f^{-1}(S - T) \rightarrow S - T$  is  $D - T$ . This proves (i), (ii) and (iii) of the corollary. The assertion (iv) follows from the definition of an admissible deformation (cf. [J-S]). Hence by normalizing  $\mathcal{Y} |_{S-T}$  we obtain a family of normal surfaces  $\mathcal{X} \rightarrow S - T$  with a section  $\sigma$  such that each  $X_s \rightarrow Y_s$  is the normalization and the singularities  $(X_s, \sigma(s))$  are all isomorphic.  $\square$

**Lemma 3.3** *For general  $s \in S - D$  one has,*

- (i)  $\pi_1(Z_s) = 0$ , hence  $H^2(Z_s, \mathbf{Z})$  is torsion free
- (ii)  $H^2(Z_s, \mathcal{O}_{Z_s}) \neq 0$

**Proof:** (i) By stratified Morse theory (cf. [G-M], Part II, Theorem 1.1(1), page. 150-151) it follows that  $Y_s - \Sigma$  is simply connected for general  $s \in S$ , because  $V$  is very ample on  $\mathbf{P}^3 - \Sigma$ , which is simply connected. Since  $Z_s$  is smooth and contains  $Y_s - \Sigma$  as a dense open subset, it is simply connected.

(ii) Choose an  $s \in S - D$  and write  $X$ ,  $Y$  and  $Z$  for  $X_s, Y_s$  and  $Z_s$  respectively. Then we have the following exact sequence:

$$0 \rightarrow \mathcal{C} \rightarrow \mathcal{O}_Y \rightarrow \mathcal{O}_\Sigma \rightarrow 0$$

Here  $\mathcal{C} = I$  is the conductor  $\mathcal{H}om_{\mathcal{O}_Y}(\mathcal{O}_X, \mathcal{O}_Y)$  and is also the ideal sheaf of  $\Sigma$ . Also note that  $\nu_* \mathcal{O}_X = \mathcal{H}om_{\mathcal{O}_Y}(\mathcal{C}, \mathcal{O}_Y)$ , as  $\mathcal{O}_Y$ -modules. If we take  $\mathcal{H}om_{\mathcal{O}_Y}(-, \mathcal{O}_Y)$  to the above exact sequence, we get

$$0 \rightarrow \mathcal{H}om_{\mathcal{O}_Y}(\mathcal{O}_Y, \mathcal{O}_Y) \rightarrow \mathcal{H}om_{\mathcal{O}_Y}(\mathcal{C}, \mathcal{O}_Y) \rightarrow \mathcal{E}xt_{\mathcal{O}_Y}^1(\mathcal{O}_\Sigma, \mathcal{O}_Y) \rightarrow 0$$

Hence we obtain an exact sequence:

$$0 \rightarrow \mathcal{O}_Y \rightarrow \nu_* \mathcal{O}_X \rightarrow \mathcal{E}xt_{\mathcal{O}_Y}^1(\mathcal{O}_\Sigma, \mathcal{O}_Y) \rightarrow 0$$

because the map  $\mathcal{H}om_{\mathcal{O}_Y}(\mathcal{O}_Y, \mathcal{O}_Y) \rightarrow \mathcal{H}om_{\mathcal{O}_Y}(\mathcal{C}, \mathcal{O}_Y)$  is the natural map  $\mathcal{O}_Y \rightarrow \nu_* \mathcal{O}_X$ . From the long exact cohomology sequence of this exact sequence, we obtain,

$$H^1(Y, \mathcal{E}xt_{\mathcal{O}_Y}^1(\mathcal{O}_\Sigma, \mathcal{O}_Y)) \rightarrow H^2(Y, \mathcal{O}_Y) \rightarrow H^2(Y, \nu_* \mathcal{O}_X) \rightarrow 0$$

Also note that,

$$\mathcal{E}xt_{\mathcal{O}_Y}^1(\mathcal{O}_\Sigma, \mathcal{O}_Y) = \omega_\Sigma \otimes \omega_Y^{-1} = \omega_\Sigma(4-d)$$

Hence  $h^2(Y, \nu_* \mathcal{O}_X) = h^2(X, \mathcal{O}_X) \geq h^2(Y, \mathcal{O}_Y) - h^1(Y, \mathcal{E}xt_{\mathcal{O}_Y}^1(\mathcal{O}_\Sigma, \mathcal{O}_Y)) = A_d - h^1(\Sigma, \mathcal{E}xt_{\mathcal{O}_Y}^1(\mathcal{O}_\Sigma, \mathcal{O}_Y)) = A_d - h^1(\Sigma, \omega_\Sigma(4-d)) = A_d - h^0(\Sigma, \mathcal{O}_\Sigma(d-4)) > p_g(X, 0)$ .

From the Leray spectral sequence applied to  $p : Z \rightarrow X$ , we obtain an exact sequence,

$$H^0(X, R^1 p_* \mathcal{O}_Z) \rightarrow H^2(X, p_* \mathcal{O}_Z) \rightarrow H^2(Z, \mathcal{O}_Z)$$

Hence  $h^2(Z, \mathcal{O}_Z) \geq h^2(X, p_* \mathcal{O}_Z) - p_g(X, 0) = h^2(X, \mathcal{O}_X) - p_g(X, 0) > 0$ . Hence  $H^2(Z, \mathcal{O}_Z) \neq 0$ , which proves (ii).  $\square$

## 4 A Noether-Lefschetz theorem

Here we prove that for a general  $s \in S$ ,  $Z_s$  has Pic generated by the exceptional cycles (the reduced irreducible components of  $E_0$ ),  $f^* \mathcal{O}_{Y_s}(1)$  and  $\widetilde{\Sigma}$ . The representation of  $\pi_1(S-D, s)$  on  $H^2(Z_s, \mathbf{Q})$  gives rise to a local system  $\mathcal{H}$  on  $S-D$ . Let  $\mathcal{H}^\pi$  be the space of invariants of this representation and  $\mathcal{P}$  be its orthogonal complement with respect to the intersection form. Note that the restriction of the intersection form is non-degenerate on  $\mathcal{H}^\pi$  as it contains an ample divisor. Hence we have an orthogonal direct sum decomposition,

$$\mathcal{H} = \mathcal{H}^\pi \otimes \mathcal{P}$$

Let us denote by  $A_s \subset H^2(Z_s, \mathbf{Z})$  the subgroup generated by  $E_0$ ,  $\widetilde{\Sigma}_s$  and  $f^*(\mathcal{O}_{Y_s}(1))$ .

**Lemma 4.1** *In the above situation we have*

- (i)  $\mathcal{H}_s^\pi = A_s \otimes \mathbf{Q}$ .
- (ii) *The local sub-system  $\mathcal{P}$  is irreducible.*

**Proof:** The theorem of the fixed part of Deligne (cf. [D]) states that

$$\mathcal{H}_s^\pi = \text{Im}(H^2(\mathcal{Z}, \mathbf{Q}) \rightarrow H^2(Z_s, \mathbf{Q}))$$

Now choose a line  $L \subset S$  that intersects  $D$  transversely. Then  $L \cap D \subset D - T$  and  $Z_s$  has exactly one quadratic singularity for each  $s \in L \cap D$ . Let  $L' = L - D$  and  $Z_{L'} = f^{-1}(L')$ . By a theorem of Zariski  $\pi_1(L', s) \rightarrow \pi_1(S - D, s)$  is surjective. Hence

$$\text{Im}(H^2(\mathcal{Z}, \mathbf{Q}) \rightarrow H^2(Z_s, \mathbf{Q})) = \text{Im}(H^2(Z_{L'}, \mathbf{Q}) \rightarrow H^2(Z_s, \mathbf{Q})) = \mathcal{H}_s^\pi$$

Since  $Z_{L'}$  is a pencil of hypersurfaces in  $P^3$ , it is smooth and rational. Let  $\overline{Z}_{L'}$  be a smooth compactification of  $Z_{L'}$  with a morphism  $\overline{\pi} : \overline{Z}_{L'} \rightarrow \mathbf{P}^3$  which restrict to  $\pi$  on  $Z_{L'}$ . Then  $\overline{Z}_{L'}$  is also smooth rational and complete, hence the cycle map  $\overline{c} : \text{Pic}(\overline{Z}_{L'}) \rightarrow H^2(\overline{Z}_{L'}, \mathbf{Z})$  is an isomorphism. Now look at the diagram (with exact top row):

$$\begin{array}{ccccc} \text{Pic}(\overline{Z}_{L'}) & \longrightarrow & \text{Pic}(Z_{L'}) & \longrightarrow & 0 \\ \overline{c} \downarrow & & c \downarrow & & \\ H^2(\overline{Z}_{L'}, \mathbf{Z}) & \longrightarrow & H^2(Z_{L'}, \mathbf{Z}) & \xrightarrow{j} & H^2(Z_s, \mathbf{Z}) \end{array}$$

Hence it suffices to compute the image of  $\text{Pic}(\overline{Z}_{L'})$ . Since  $E_0 \times S \subset \mathcal{Z}$ , it follows that each reduced irreducible component of  $E_0$  is in the image. The complement of all irreducible components of the exceptional divisor of  $\overline{Z}_{L'} \rightarrow \mathbf{P}^3$  is isomorphic to  $\mathbf{P}^3 - \Sigma$ . Hence its Picard group is generated by  $\overline{\pi}^* \mathcal{O}_{\mathbf{P}^3}(1)$ . It is also clear that  $\overline{\Sigma}_s$  is in the image as it is  $Z_s \cap \pi^{-1}(\Sigma - 0)$ . This proves (i).

Now each point of  $L \cap D$  defines a vanishing cycle and the space of invariants is precisely the orthogonal complement to the span of vanishing cycles, by the Picard-Lefschetz formula (cf. [La]). Hence the span of vanishing cycles is the stalk  $\mathcal{P}_s$  of  $\mathcal{P}$  at each point. Since the smooth points of  $D$  form a connected subset of  $S$ , it follows that the vanishing cycles form a single conjugacy class and hence  $\mathcal{P}$  is irreducible. This proves (ii).  $\square$

**Lemma 4.2** For for  $s \in S_U$ , where  $S_U$  is the complement of countably many analytic subsets in  $S$ , we have:

$$NS(Z_s) \otimes \mathbf{Q} = A_s \otimes \mathbf{Q}$$

**Proof:** By Hodge theory, the map of sheaves  $\mathcal{P} \rightarrow R^2 f_* \mathcal{O}_Z |_{(S-D)}$  is surjective after tensoring with  $\mathbf{C}$ . Since  $\mathcal{P}$  is irreducible and the kernel of this map is a local sub-system, it has to be injective. If  $s \in S - D$ , then in some open neighbourhood  $U$  of  $s$  (in the Euclidean topology),  $\mathcal{P}$  can be trivialised as a local system, and  $R^2 f_* \mathcal{O}_Z |_{S-D}$  as an  $\mathcal{O}_U$ -module, so that a non-zero element  $v \in \mathcal{P}_s$  yields a holomorphic function of several variables on  $U$  which is not identically zero. Hence the zero set of this function is a closed analytic subset  $Z_v \subset U$  of smaller dimension, and the collection of such  $v$  is countable as  $\mathcal{P}_s \subset H^2(Z_s, \mathbf{Q})$  is countable. Hence for  $s \in \{U - \cup_{v \neq 0} Z_v\}$ , the map  $\mathcal{P}_s \rightarrow H^2(Z_s, \mathcal{O}_{Z_s})$  is injective. But  $S - D$  can be covered by a countable collection of such sets  $U$ . Then for any  $s \in S_U := \cup_U \{U - \cup_{v \neq 0} Z_v\}$  the map  $\mathcal{P}_s \rightarrow H^2(Z_s, \mathcal{O}_{Z_s})$  is injective. By the exponential sequence and GAGA we have,

$$NS(Z_s) \otimes \mathbf{Q} = \text{Ker}(H^2(Z_s, \mathbf{Q}) \rightarrow H^2(Z_s, \mathcal{O}_{Z_s}))$$

Hence  $\mathcal{P}_s$  is orthogonal to  $NS(Z_s) \otimes \mathbf{Q}$ , i.e., the cycles representing  $\mathcal{P}_s$  are not algebraic. Hence the statement.  $\square$

**Corollary 4.3** For  $s \in S_U$  one has:

$$NS(Z_s) = A_s$$

**Proof:** One clearly has:

$$A_s \subset NS(Z_s) \subset H^2(Z_s, \mathbf{Z})$$

As by lemma 4.2 the result is true over  $\mathbf{Q}$  and by lemma 3.3 (i) we know that  $H^2(Z_s)$  is torsion free, it is sufficient to show that  $A_s$  is a primitive lattice in  $H^2(Z_s, \mathbf{Z})$ . Now take a look at the diagram used in the proof of lemma 4.1. From the Leray spectral sequence of the map  $Z_{L'} \rightarrow L'$  one gets that the map  $j$  is injective, with as image the invariants of the monodromie. Hence  $H^2(Z_{L'}, \mathbf{Z})$  is primitive in  $H^2(Z_s, \mathbf{Z})$ : It follows from the exponential sequence that the cokernel of  $c : \text{Pic}(Z_{L'}) \rightarrow H^2(Z_{L'}, \mathbf{Z})$  injects into a  $\mathbf{C}$ -vectorspace, hence its image also must be primitive. Hence,  $A_s$  as the image of  $\text{Pic}(\bar{Z}_{L'})$  in  $H^2(Z_s, \mathbf{Z})$  is primitive.  $\square$

**Proof of theorem 1.1:** Choose an  $s \in \cup S_U$  and write  $X$ ,  $Y$  and  $Z$  for  $X_s$ ,  $Y_s$  and  $Z_s$  respectively. Let  $\Sigma' \subset X$  be the inverse image  $\nu^{-1}(\Sigma)$  of

$\Sigma$ . By corollary 4.3, it follows that the class group of  $X$  is generated by  $\Sigma'$  and  $\nu^*\mathcal{O}_Y(1)$ . So it only remains to prove that the class of  $\Sigma'$  represents the dualizing module of  $(X, 0)$ . Duality for finite maps applied to  $\nu$  gives:

$$\nu_*\mathcal{H}om_{\mathcal{O}_X}(\mathcal{O}_X, \omega_X) = \mathcal{H}om_{\mathcal{O}_Y}(\nu_*\mathcal{O}_X, \omega_Y)$$

Since  $\omega_Y$  is locally free as  $Y$  is a hypersurface, we have

$$\nu_*\omega_X = \mathcal{H}om_{\mathcal{O}_Y}(\nu_*\mathcal{O}_X, \omega_Y) = \mathcal{H}om_{\mathcal{O}_Y}(\nu_*\mathcal{O}_X, \mathcal{O}_Y) \otimes \omega_Y = \mathcal{C} \otimes \omega_Y$$

Hence the class of  $\mathcal{C}$  represents the dualizing module as  $\omega_Y = \mathcal{O}_Y(d-4)$  is locally free.  $\square$

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